

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
RD28056-5

In Re Application Of: **Brown et al.**

Serial No.
10/672,788

Filing Date
09/26/2003

Examiner
to be assigned

Group Art Unit
to assigned

Title: **TRIAZINE COMPOUNDS, POLYMERS COMPRISING TRIAZINE STRUCTURAL UNITS, AND METHOD**

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

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TRIAZINE COMPOUNDS, POLYMERS COMPRISING TRIAZINE STRUCTURAL UNITS, AND

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. **07-0862** as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
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Elizabeth A. Thoresen

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Signature

Dated: **11/17/03**

Frank A. Smith - Registration No. 39, 375
General Electric Company
One Plastics Avenue
Pittsfield, MA 01201
Phone: 413-448-7604
Customer No. 25743

cc:

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
RD28056-5

In Re Application Of: **Brown et al.**

Serial No.
10/672788

Filing Date
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Group Art Unit
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Invention: **TRIAZINE COMPOUNDS, POLYMERS COMPRISING TRIAZINE STRUCTURAL UNITS, AND
METHOD**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.


Signature

Dated:

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Elizabeth A. Thoresen

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cc:

PTO-1449

Attorney's Docket Number
RD28056-5Serial Number
10/672,788

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

LIST OF ITEMS

Name of Applicant
Brown et al.Filing Date
09/26/2003Group
To be assigned

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

* Examiner Initials	Document Number								Date & Time	Name	Class	Subclass	Filing Date if Appropriate
	AA	4	8	9	5	9	4	5	01/23/1990	Brown et al.			
	AB	3	9	6	6	6	8	0	06/29/1976	Wear			
	AC	3	2	9	3	2	4	7	12/20/1996	Duennenberger et al.			
	AD	4	0	9	2	2	4	3	05/30/1978	Dieter et al.			
	AE	3	2	4	4	7	0	9	04/05/1966	D'Alelio			
	AF	3	6	2	6	0	4	9	12/07/1971	Kunio et al.			
	AG	3	7	0	1	6	6	4	10/31/1972	Bernhard et al.			
	AH												
	AI												
	AJ												

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	Document Number		Date	COUNTRY	Class	Subclass	TRANSLATION YES NO	
	AK	EP 0 336 494 A	10/11/1989	European				
	AL	EP 0 405 139 A	01/02/1991	European				
	AM	EP 0 475 039 A	03/18/1992	European				
	AN	EP 0 601 781 A	06/15/1994	European				
	AO	EP 0 522 767 B1	01/13/1993	European				
	AP	EP 0 386 545 B1	09/12/1990	European				

OTHER INFORMATION (Including Author, Title, Date, Pertinent)

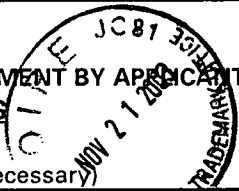
AQ	Wang, Zhong-Wen et al.: "Synthesis and Biological Activity of Beta-substituted Acrylate Ester as a New Type of Fungicides"; Database Accession No. 131:58591; XP002235577, abstract & Hecheng Huaxue (1999), 7(1), 62-67.
AR	Patel, K.D. et al: "2'-NA-(N1-(4',6'-Dimethyl-2"-pyrimidinyl) sulfanilamide)1-4-(2'-methoxyphenylureido-6-(aryloxy)-s-triazines"; Database accession no. 128:127992; XP002235578, abstract & Journal of The Institution of Chemists (India) (1997), 69(5), 159-160.
AS	A. Hunter M. et al: "Single transition state in nucleophilic aromatic substitution: reaction of phenolate ions with 2-(4-nitrophenoxy)-4-6-dimethoxy-1,3,5-triazine in aqueous solution"; Database Accession no. 120:269321; XP002235579 abstract & Journal of the Chemical Society, Perkin Transactions 2: Physical Organic Chemistry (1972-1999) (1993), (10), 1703-4.

EXAMINER

DATE CONSIDERED

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PTO-1449				Attorney's Docket Number RD28056-5		Serial Number 10/672,788		
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	AK	EP 0 070 386 A		01/26/1983	European			
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	AM	EP 0 264 878 A		04/27/1988	European			
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	AO							
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OTHER INFORMATION (Including Author, Title, Date, Pertinent)								
	AQ	JP 63 258467 A (abstract); Kumiai Chemical Industry Co., Ltd., Japan: Ihara Chemical Industry Co.), 25 October 1988; table 1.						
	AR	Thorat, M.T. et al.: "Synthesis of triaryl cyanurates using polymer-supported reagents"; Database Accession No. 108:205113; XP002235580 abstract & European Polymer Journal (1988), 24(4), 399-401.						
	AS	DD 220 603A (Paedagogische Hochschule, "Dr. Theodor Neubauer", Ger. Dem. Rep.), 3 April 1985; table 1						
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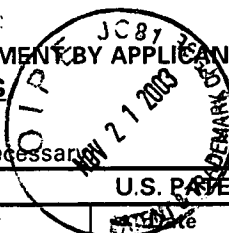
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AQ	Moriga, Hiroyuki et al: "Cellulose ester fiber w2ith improved dyeing fastness"; Database accession no. 83:61476; XP002235581 abstract & JP 49 010610 8 (Teijin Ltd.), 12 March 1974.
AR	Van Muijlwijk, A.W. et al: "Hydrogenolysis of 2,4,6-tris(arloxy)s-triazine over palladium. New method for the replacement of phenolic hydroxyl groups by hydrogen; Database accession no. 82:43362; XP002235582 Abstract & Recueil Des Travaux Chimiques Des Pays-Bas (1974), 93(7), 204-6.
AS	Maeno, Norto et al.: "Effect of substituents on the Smiles rearrangement of O-s-triazinyl-2-aminophenols; Database accession no. 78:3642; XP002235583 abstract & Bulletin of the Chemical Society of Japan (1972), 45(10), 3133-9.

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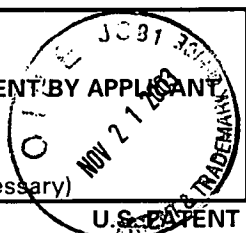
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AQ	Brunetti, H. et al: "Synthesis of asymmetrically substituted o-hydroxyphenyl-s-triazines"; Database accession no. 77:101540; XP002235584 Abstract & Helvetica Chimica ACTA (1972), 55(5), 1566-95.
AR	Wakabayashi, Ko et al: "Inhibitory effects of s-triazines on nitrification in soil. I. Monoamino-s-triazines"; Database Accession No. 73:119790; XP002235585 Abstract & Nippon Dojo Hiriyogaku Zasshi (1970), 41(4), 133-41.
AS	Wakabayashi, Ko et al: "Inhibitory effects of s-triazines on nitrification in soil. III. Diamino-s-triazines and melanines"; Database Accession No. 73:108869; XP002235586 Abstract & Nippon Dojo Hiriyogaku Zasshi (1970), 41(4), 193-200.

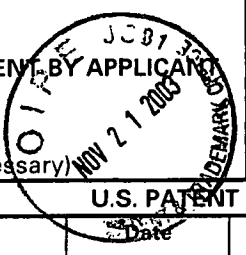
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	AQ	Harayama, Takeo et al.: "Smiles rearrangement on s-triazine derivatives"; Database accession no. 73:87895; XP002235587 abstract & Journal of Heterocyclic Chemistry (1970), 7(4), 981-6.						
	AR	Konstantinova, T., et al.: "Synthesis and photochemical properties of triazinylaminobenzanthrone derivates as dyes for polymeric materials"; Polymer Degradation and Stability (1995), 48(1), 161-6; XP002247875.						
	AS	Konstantinova, T. et al.: "Synthesis and Application of UV Stabilizers for Polymeric Materials Based on Triazinylaminobenzotriazole", Polymer Degradation and Stability (1994), 43(2), 187-93, XP002247882.						
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AR	Bojinov, Vladimir et al: "Synthesis application of triazinyl-2,2,6,6-tetramethylpiperidine derivatives as stabilizers for polymeric materials", Die & Angewandte Makromolekulare Chemie (1998), 260, 17-20, XP002247883.																																																																								
AS	Konstantinova et al.: "Synthesis of Some Polymerizable Fluorescent Brighteners, Triazinylstilbene Derivatives", Bulgarian Chemical Communications (1995), 28(1), 74-79, XP002247884.																																																																								
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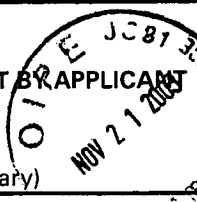
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AQ	Nakamura, Yoshiro et al.: "Relation of Chemical Structure of Polycyanurates to Thermal and Mechanical Properties", Journal of Polymer Science: Part A-1 (1969), 7(11), 3089-3100, XP002247885.
AR	Cunningham, Ian D. et al.: "The Ene Reaction Between Maleimides and Allyl-Substituted Aromatics", Tetrahedron (1997), 53(39), 13473-13494, XP002247877
AS	Neicheva, A. et al.: "Thin-layer chromatographic study of the synthesis of some unsaturated chlorotriazine derivatives with herbicidal activity", XP002247886 abstract & Journal of Planar Chromatography-Modern TLC (1999), 12(2), 145-149.

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AQ	Menicagli, Rita et al.: "Selective mono- or dialkoxylation of 2,4,6-trichloro-1,3,5-triazine in solid liquid phase transfer conditions", XP002247887 abstract & Synthetic Communications (1994), 24(15), 2153-8.
AR	Sakuma, Yasushi et al.: "Preparation of 2,4,6-trisubstituted s-triazine derivatives in treating respiratory diseases", XP002247888 abstract & JP 03 038576 A (Teijin Ltd., Japan) 19 February 1991 (1991-02-19).
AS	Pazenko, Z.N. et al.: "The synthesis of monomers containing the s-triazine ring", XP002247889 abstract & Sintez i Svoistva Monomerov, Akad. Nauk SSR, Inst. Neftekhim. Sintez, SB. Rabot 12-01 'Dvenadtsatoi Konf. PO Vysokomolekul. Soedin. (1964), 1962. 287-91.

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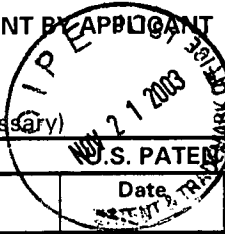
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AS	Bojinov, Vladimir B. et al.: "Synthesis of new combined 2,2,6,6-tetramethylpiperidine-2-hydroxyphenylbenzotriazole 1,3,5-triazine derivatives as stabilizers for polymers", Polymer Degradation and Stability (2001), 74(3), 543-550, XP002247879.

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PTO-1449				Attorney's Docket Number RD28056-5		Serial Number 10/672,788	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT LIST OF ITEMS (Use several sheets if necessary)				Name of Applicant Brown et al.			
				Filing Date 09/26/2003		Group To be assigned	



DOCUMENTS									
* Examiner Initials	Document Number	Date	Name	Class	Subclass	Filing Date if Appropriate			
	AA								
	AB								
	AC								
	AD								
	AE								
	AF								
	AG								
	AH								
	AI								
	AJ								

FOREIGN PATENT DOCUMENTS						
	Document Number	Date	COUNTRY	Class	Subclass	TRANSLATION YES NO
	AK					
	AL					
	AM					
	AN					
	AO					
	AP					

OTHER INFORMATION (Including Author, Title, Date, Pertinent)	
AQ	Bojinov, Vladimir et al.: "Synthesis and properties of new adducts of 2,2,6,6-tetramethylpiperidine and 2-hydroxyphenylbenzotriazole as polymer photostabilizers" Journal of Photochemistry and Photobiology A: Chemistry (2002), 150(1-3), 223-231, XP002247880.
AR	Barcelo, D. et al.: "On the photodegradation of some new unsaturated triazine pesticides using LC-MS technique", XP002247891 abstract & Fresenius Environmental Bulletin (2001), 10(2), 203-207.
AS	Konstantinova, T. et al.: "On the synthesis of some bifunctional reactive triazine dyes", Dyes and Pigments (2002), 52(2), 115-120, XP002247881

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Group To be assigned		U.S. PATENT DOCUMENTS		FOREIGN PATENT DOCUMENTS		OTHER INFORMATION (Including Author, Title, Date, Pertinent)	
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AH							
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AJ							
	Document Number	Date	COUNTRY	Class	Subclass	TRANSLATION YES NO	
AK							
AL							
AM							
AN							
AO							
AP							
AQ	PCT International Search Report for International Application No. PCT/US 02/24458, International filing date of July 31, 2002.						
AR							
AS							
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